**APPENDIX 1**

**DRAFT INTERNAL AUDIT PLAN 2019/20**

**3 Months April 2019 to June 2019**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Assignment** | **Rating** | **Days** | **Input** | **Risk/Scope** |
| **SOUTH RIBBLE COUNCIL** |  |  |  |  |
| **CORPORATE** |  |  |  |  |
| Annual Governance Statement | N/A | 12 | Audit | Production of action plan |
| Assurance Mapping | N/A | 5 | Audit | Assurance Map |
| Anti-Fraud & Corruption | N/A | 2 | Advisory | Policy updates, fraud alerts, etc. |
| National Fraud Initiative (NFI) | N/A | 3 | Facilitation | 2018-19 full data matching exercise |
| Post Audit Reviews | N/A | 2 | Follow Up | Follow Up Management Actions |
| **RESOURCES & TRANSFORMATION** | | | | |
| **Legal, Democratic & HR Services** | | | | |
| GDPR Implementation | Project | 5 | Project Team | Risk management support |
| **Policy, Communications, Customer, Digital & Strategic Asset Management** | | | | |
| Project Management c/f 2018/19 | Medium | 15 | Audit | Revised framework & use of inphase |
| ICT Review (MIAA) | Medium | 20 | Audit | TBD following ICT Audit risk analysis |
| **REGENERATION & GROWTH** | | | | |
| **Neighbourhoods & Development** | | | | |
| My Neighbourhoods Processes | High | 10 | Audit | Carried forward 2018/19 |
| **Parks & Neighbourhoods** | | | | |
| Car Parks Management / Enforcement | High | 10 | Audit | Risk Based Review |
| Tree Inspections & Maintenance | High | 15 | Audit | Risk Based Review |
| **EXTERNAL PROVISION** | | | | |
| **LCC** | | | | |
| To be determined | High | 25 | Audit | Audit Work to be identified |
|  |  |  |  |  |
| **TOTAL** |  | **124** |  |  |
|  |  |  |  |  |
| Contingency | N/A | 5 | Audit | Unplanned reviews / investigations |
| Risk Management | N/A | 5 | Risk | Risk Management Support |
| **TOTAL** |  | **134** |  |  |
|  | | | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **SHARED SERVICES** | | | | |
| **SHARED FINANCIAL SERVICES** | | | | |
| Creditor | High | 20 | Audit | c/f 2018/19 |
| Money Laundering Policies Compliance | High | 10 | Audit | Compliance with Regulations |
| **TOTAL** |  | **30** |  |  |
|  |  |  |  |  |